

2768

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hueler et al.

Examiner:

Unassigned

Serial No .:

09/520,825

Group Art Unit:

2768

Filed:

March 8, 2000

Docket No.:

Old: 1035.300US01

New: HUEC.300US01

Title:

ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR

FACILITATING FINANCIAL TRANSACTION BIDDING AND

REPORTING PROCESSES

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 15, 2002.

By: Lim Mehols

Erin M. Nichols

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED

APR 2 2 2002

Dear Sir:

Technology Center 2100

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted either within three months of the filing date or before the first Office Action of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

Please note that any notations or markings on the attached documents do not reflect particular relevance, or lack thereof, to the present application, nor were they necessarily made by anyone affiliated with the prosecution of the present application.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Crawford PLLC 1270 Northland Drive Suite 390 St. Paul, MN 55120

651/686-6633

Bv

Dated: April 15, 2002

Steven R. Funk

Reg. No. 37,830